# Law of The People's Republic Of China on Administrative Penalty (2017 Amendment)[Effective] 中华人民共和国行政处罚法(2017 修正) [现行有效]

	Standing Committee of the			
lssuing authority:	National People's	Document	Order No.76 of the President	
	Congress,Standing Committee	Number:		
	of the National People's			
	Congress			
Date issued:	09-01-2017	Effective date:	01-01-2018	
Level of		Area of Law:	Government Affairs	
Authority:	Laws			

中华人民共和国行政处罚法

(1996年3月17日第八届全国

人民代表大会第四次会议通过

根据 2009 年 8 月 27 日第十一 届全国人民代表大会常务委员会

第十次会议《关于修改部分法律

人民代表大会常务委员会第二十

九次会议《关于修改〈中华人民 共和国法官法〉等八部法律的决

定》第二次修正)

的决定》第一次修正 根据 2017年9月1日第十二届全国

Law of The People's Republic Of China on Administrative Penalty

(Adopted at the Fourth Session of the Eighth National People's Congress on March 17, 1996; amended for the first time in accordance with the Decision of the Standing Committee of the National People's Congress on Amending Some Laws adopted at the 10th Session of the Eleventh Standing Committee of the National People's Congress on August 27, 2009; and amended for the second time in accordance with the Decision on Amending Eight Laws including the Judges Law at the 29th Session of the Standing Committee of the 12th National People's Congress of the People's Republic of China on September 1, 2017)

#### Contents

目录 第一章 总 则 **Chapter I General Provisions** 第二章 行政处罚的种类和设定 Chapter II Types and Creation of Administrative Penalty 第三章 行政处罚的实施机关 Chapter III Organs Imposing Administrative Penalty 第四章 行政处罚的管辖和适用 Chapter IV Jurisdiction and Application of Administrative Penalty

Chapter V Decision on An Administrative Penalty		行政处罚的决定
Section 1 Summary Procedure		简易程序
Section 2 Ordinary Procedure	第二节	一般程序
Section 3 Procedrue of Hearing	第三节	听证程序
Chapter VI Enforcement of Administrative Penalty	第六章	行政处罚的执行
Chapter VII Legal Responsibility	第七章	法律责任
Chapter VIII Supplementary Provisions	第八章	附 则
Chapter I General Provisions		第一章 总 则

Article 1 Pursuant to the Constitution, this Law is enacted for the purpose of standardizing creation and imposition of administrative penalty, ensuring and supervising the effective exercise of administration by administrative organs, safeguarding public interests and public order, and protecting the lawful rights and interests of citizens, legal persons and other organizations.

Article 2 Creation and imposing of administrative penalty shall be governed by this Law.

Article 3 Where administrative penalty needs to be imposed on citizens, legal persons or other organizations for their violations of the order of administration, it shall be prescribed by laws, rules or regulations pursuant to this Law and imposed by administrative organs in compliance with the procedure prescribed by this Law.

Administrative penalty that is not imposed in accordance with law or in compliance with legal procedures shall be invalid.

Article 4 Administrative penalty shall be imposed in adherence to the principles of fairness and openness.

Creation and imposition of administrative penalty shall be based on facts and shall be in correspondence with the facts, nature and seriousness of the violations of law and damage done to society.

Regulations on administrative penalty to be imposed for violations of law must be published; those which are not published shall not be taken as the basis for administrative penalty. 第一条 为了规范行政处罚 的设定和实施,保障和监督行政 机关有效实施行政管理,维护公 共利益和社会秩序,保护公民、 法人或者其他组织的合法权益, 根据宪法,制定本法。

第二条 行政处罚的设定和 实施,适用本法。

第三条 公民、法人或者其 他组织违反行政管理秩序的行 为,应当给予行政处罚的,依照 本法由法律、法规或者规章规 定,并由行政机关依照本法规定 的程序实施。

没有法定依据或者不遵守法定程 序的,行政处罚无效。

第四条 行政处罚遵循公 正、公开的原则。

设定和实施行政处罚必须以事实 为依据,与违法行为的事实、性 质、情节以及社会危害程度相 当。

对违法行为给予行政处罚的规定 必须公布;未经公布的,不得作 为行政处罚的依据。 Article 5 In imposing administrative penalty and setting to rights illegal acts, penalty shall be combined with education, so that citizens, legal persons and other organizations shall become aware of the importance of observing law.

Article 6 Citizens, legal persons and other organizations on whom administrative penalty is imposed by administration organs shall have the right to state their cases and the right to defend themselves; those who refuse to accept administrative penalty shall have the right to apply for administrative reconsideration or bring an administrative lawsuit in accordance with law.

Citizens, legal persons and other organizations that have suffered damage due to administrative penalty imposed by administrative organs in violation of law shall have the right to demand compensation in accordance with law.

Article 7 Citizens, legal persons and other organizations that are subjected to administrative penalty because of their violations of law shall, in accordance with law, bear civil liability for damage done to others by their illegal acts.

Where an illegal act constitutes a crime, criminal responsibility shall be investigated in accordance with law; no administrative penalty shall be imposed in place of criminal penalty.

Chapter II Types and Creation of Administrative Penalty

Article 8 Types of administrative penalty shall include:

- (1) disciplinary warning;
- (2) fine;

(3) confiscation of illegal gains or confiscation of unlawful property or things of value;

(4) ordering for suspension of production or business;

(5) temporary suspension or rescission of permit or temporary suspension or rescission of license;

(6) administrative detention; and

(7) others as prescribed by laws and administrative rules and regulations.

第五条 实施行政处罚,纠 正违法行为,应当坚持处罚与教 育相结合,教育公民、法人或者 其他组织自觉守法。

第六条 公民、法人或者其 他组织对行政机关所给予的行政 处罚,享有陈述权、申辩权;对 行政处罚不服的,有权依法申请 行政复议或者提起行政诉讼。

公民、法人或者其他组织因行政 机关违法给予行政处罚受到损害 的,有权依法提出赔偿要求。

第七条 公民、法人或者其 他组织因违法受到行政处罚,其 违法行为对他人造成损害的,应 当依法承担民事责任。

违法行为构成犯罪,应当依法追 究刑事责任,不得以行政处罚代 替刑事处罚。

第二章 行政处罚的种类和设定

第八条 行政处罚的种类:

(一) 警告;

(二)罚款;

(三)没收违法所得、没收非法 财物;

(四) 责令停产停业;

(五)暂扣或者吊销许可证、暂 扣或者吊销执照;

(六)行政拘留;

(七)法律、行政法规规定的其 他行政处罚。 Article 9 Different types of administrative penalty may be created by law.

Administrative penalty involving restriction of freedom of person shall only be created by law.

Article 10 Administrative penalties, with the exception of restricting freedom of person, may be created by administrative rules and regulations.

Where it is necessary to formulate specific provisions, in administrative rules and regulations, regarding violations of law for which administrative penalties have been formulated in laws, it must be done within the limits of the acts subject to administrative penalty and the types and range of such penalty as prescribed by laws.

Article 11 Administrative penalties, with the exception of restriction of freedom of person and rescission of business license of an enterprise, may be created in local regulations.

Where it is necessary to formulate specific provisions, in local regulations, regarding violations of law for which administrative penalties have been formulated in laws and administrative rules and regulations, it must be done within the limits of the acts subject to administrative penalty and the types and range of such penalty as prescribed by laws and administrative rules and regulations.

Article 12 The ministries and commissions under the State Council may, in the rules they enact, formulate specific provisions within the limits of the acts subject to administrative penalty and the types and range of such penalty as prescribed by laws and administrative rules and regulations.

With regard to violations of administration order against which no laws or administrative rules and regulations have been enacted, the ministries and commissions under the State Council may create administrative penalty of disciplinary warning or a certain amount of fine in the rules they enact, as stipulated in the preceding paragraph. The specific amounts of fine shall be laid down by the State Council. 第九条 法律可以设定各种 行政处罚。

限制人身自由的行政处罚,只能 由法律设定。

第十条 行政法规可以设定 除限制人身自由以外的行政处 罚。

法律对违法行为已经作出行政处 罚规定,行政法规需要作出具体 规定的,必须在法律规定的给予 行政处罚的行为、种类和幅度的 范围内规定。

第十一条 地方性法规可以 设定除限制人身自由、吊销企业 营业执照以外的行政处罚。

法律、行政法规对违法行为已经 作出行政处罚规定,地方性法规 需要作出具体规定的,必须在法 律、行政法规规定的给予行政处 罚的行为、种类和幅度的范围内 规定。

第十二条 国务院部、委员 会制定的规章可以在法律、行政 法规规定的给予行政处罚的行 为、种类和幅度的范围内作出具 体规定。

尚未制定法律、行政法规的,前 款规定的国务院部、委员会制定 的规章对违反行政管理秩序的行 为,可以设定警告或者一定数量 罚款的行政处罚。罚款的限额由 国务院规定。 The State Council may authorize the departments directly under it that have the power of administrative penalty to formulate provisions on administrative penalty in accordance with the first and second paragraph of this Article.

Article 13 The people's governments of provinces, autonomous regions, and municipalities directly under the Central Government, of the cities where the people's governments of provinces and autonomous regions are located, and of the larger cities approved as such by the State Council may, within the limits of the acts subject to administrative penalty and the types and range of such penalty as prescribed by laws and regulations, formulate specific provisions in the rules they enact.

With regard to violations of administration order against which no laws or regulations have been enacted, the people's governments may create administrative penalty of disciplinary warning or certain amount of fine in the rules they enact, as stipulated in the preceding paragraph. The specific amounts of fine shall be laid down by the standing committees of the people's congresses of provinces, autonomous regions, and municipalities directly under the Central Government.

Article 14 No administrative penalties shall be created in any other regulatory documents in addition to the ones as stipulated in Articles 9, 10, 11, 12 and 13 of this Law.

Chapter III Organs Imposing Adminisitrative Penalty

Article 15 Administrative penalty shall be imposed by administrative organs that have the power of administrative penalty within the scope of their statutory functions and powers.

Article 16 The State Council or the people's government of a province, autonomous region or municipality directly under the Central Government that is empowered by the State Council may decide to have an administrative organ exercise other administrative organs' power of administrative penalty. However, the power of administrative penalty involving restriction of freedom of person shall only be exercised by the public security organs. 国务院可以授权具有行政处罚权 的直属机构依照本条第一款、第 二款的规定,规定行政处罚。

第十三条 省、自治区、直 辖市人民政府和省、自治区人民 政府所在地的市人民政府以及经 国务院批准的较大的市人民政府 制定的规章可以在法律、法规规 定的给予行政处罚的行为、种类 和幅度的范围内作出具体规定。

尚未制定法律、法规的,前款规 定的人民政府制定的规章对违反 行政管理秩序的行为,可以设定 警告或者一定数量罚款的行政处 罚。罚款的限额由省、自治区、 直辖市人民代表大会常务委员会 规定。

第十四条 除本法第九条、 第十条、第十一条、第十二条以 及第十三条的规定外,其他规范 性文件不得设定行政处罚。

第三章 行政处罚的实施机关

第十五条 行政处罚由具有 行政处罚权的行政机关在法定职 权范围内实施。

第十六条 国务院或者经国 务院授权的省、自治区、直辖市 人民政府可以决定一个行政机关 行使有关行政机关的行政处罚 权,但限制人身自由的行政处罚 权只能由公安机关行使。 Article 17 Organizations that are authorized by laws and regulations to exercise the power of administering public affairs may impose administrative penalty within the scope of their powers as authorized by law.

Article 18 In accordance with the provisions of laws, regulations or rules, an administrative organ may, within the scope of its powers as prescribed by law, entrust an organization that meets the conditions stipulated in Article 19 of this Law with imposing administrative penalty. An administrative organ may not entrust other organizations or individuals with imposing administrative penalty.

The entrusting administrative organ shall be responsible for supervising the imposition of administrative penalty by the entrusted organization and shall bear legal responsibility for the consequences of the imposition.

The entrusted organization shall, within the scope of authorization, impose administrative penalty in the name of the entrusting administrative organ, and may not further entrust any other organization or individual with imposing the administrative penalty.

Article 19 The organization to be entrusted shall meet the following conditions:

(1) to be an institution in charge of public affairs established in accordance with law;

(2) to be staffed with personnel who are familiar with relevant laws, regulations and rules and are experienced in the work; and

(3) to have the conditions for organizing and conducting the technical tests or technical appraisal that are needed for testing or appraising illegal acts.

Chapter IV Jurisdiction and Application of Administrative Penalty

Article 20 Administrative penalty shall come under the jurisdiction of an administrative organ having the power of administrative penalty of a local people's government at or above the county level in the place where the illegal act is committed, except as otherwise prescribed by laws or administrative rules and regulations.

第十七条 法律、法规授权 的具有管理公共事务职能的组织 可以在法定授权范围内实施行政 处罚。

第十八条 行政机关依照法 律、法规或者规章的规定,可以 在其法定权限内委托符合本法第 十九条规定条件的组织实施行政 处罚。行政机关不得委托其他组 织或者个人实施行政处罚。

委托行政机关对受委托的组织实 施行政处罚的行为应当负责监 督,并对该行为的后果承担法律 责任。

受委托组织在委托范围内,以委 托行政机关名义实施行政处罚; 不得再委托其他任何组织或者个 人实施行政处罚。

第十九条 受委托组织必须 符合以下条件:

(一)依法成立的管理公共事务 的事业组织;

(二)具有熟悉有关法律、法规、规章和业务的工作人员;

(三)对违法行为需要进行技术 检查或者技术鉴定的,应当有条 件组织进行相应的技术检查或者 技术鉴定。

第四章 行政处罚的管辖和适用

第二十条 行政处罚由违法 行为发生地的县级以上地方人民 政府具有行政处罚权的行政机关 管辖。法律、行政法规另有规定 的除外。 Article 21 If a dispute arises over jurisdiction between administrative organs, the matter shall be reported to their common administrative organ at the next higher level for designation of jurisdiction.

Article 22 If an illegal act constitutes a crime, the administrative organ must transfer the case to a judicial organ for investigation of criminal responsibility according to law.

Article 23 When enforcing an administrative penalty, the administrative organ shall order the party to put right his illegal acts or to do so within a time limit.

Article 24 For the same illegal act committed by a party, the party shall not be given an administrative penalty of fine for more than once.

Article 25 If a person under the age of 14 commits an illegal act, no administrative penalty shall be imposed on him, but his guardian shall be ordered to discipline and educate him; if a person who has reached the age of 14 but not the age of 18 commits an illegal act, a lighter or mitigated administrative penalty shall be imposed on him.

Article 26 If a mental patient commits an illegal act at a time when he is unable to recognize or cannot control his own conduct, no administrative penalty shall be imposed on him, but his guardian shall be ordered to keep him under close surveillance and arrange for his medical treatment. Administrative penalty shall be imposed on a person whose mental illness is of an intermittent nature and who commits an illegal act when he is in a normal mental state.

Article 27 A party shall be given a lighter or mitigated administrative penalty in accordance with law, if:

(1) he has taken the initiative to eliminate or lessen the harmful consequences occasioned by his illegal act;

(2) he has been coerced by another to commit the illegal act;

(3) he has performed meritorious deeds when working in coordination with administrative organs to investigate violations of law; or 第二十一条 对管辖发生争 议的,报请共同的上一级行政机 关指定管辖。

第二十二条 违法行为构成 犯罪的,行政机关必须将案件移 送司法机关,依法追究刑事责 任。

第二十三条 行政机关实施 行政处罚时,应当责令当事人改 正或者限期改正违法行为。

第二十四条 对当事人的同 一个违法行为,不得给予两次以 上罚款的行政处罚。

第二十五条 不满十四周岁 的人有违法行为的,不予行政处 罚,责令监护人加以管教;已满 十四周岁不满十八周岁的人有违 法行为的,从轻或者减轻行政处 罚。

第二十六条 精神病人在不 能辨认或者不能控制自己行为时 有违法行为的,不予行政处罚, 但应当责令其监护人严加看管和 治疗。间歇性精神病人在精神正 常时有违法行为的,应当给予行 政处罚。

第二十七条 当事人有下列 情形之一的,应当依法从轻或者 减轻行政处罚:

(一)主动消除或者减轻违法行 为危害后果的;

(二)受他人胁迫有违法行为的;

(三)配合行政机关查处违法行 为有立功表现的; (4) he is under other circumstances for which he shall be given a lighter or mitigated administrative penalty in accordance with law.

Where a person commits a minor illegal act, promptly puts it right and causes no harmful consequences, no administrative penalty shall be imposed on him.

Article 28 If an illegal act constitutes a crime, for which a People's Court sentences him to criminal detention or fixed-term imprisonment, and if an administrative organ has already imposed administrative detention on the party, the length of detention shall be made the same as the term of imprisonment in accordance with law.

If an illegal act constitutes a crime, for which a People's Court imposes a fine on the party, and if an administrative organ has already done so, the amount of the fine imposed by the latter shall be made the same as that by the former.

Article 29 Where an illegal act is not discovered within two years of its commission, administrative penalty shall no longer be imposed, except as otherwise prescribed by law.

The period of time prescribed in the preceding paragraph shall be counted from the date the illegal act is committed; if the act is of a continual or continuous nature, it shall be counted from the date the act is terminated.

Chapter V Decision on An Administrative Penalty

Article 30 Where citizens, legal persons or other organizations violate administration order and should be given administrative penalty according to law, administrative organs must ascertain facts; if the facts about the violations are not clear, no administrative penalty shall be imposed.

Article 31 Before deciding to impose administrative penalties, administrative organs shall notify the parties of the facts, grounds and basis according to which the administrative penalties are to be decided on and shall notify the parties of the rights that they enjoy in accordance with law.

Article 32 The parties shall have the right to state their cases and to defend themselves. Administrative organs shall fully heed the opinions of the parties and shall reexamine the facts, grounds and evidence put forward by

(四)其他依法从轻或者减轻行 政处罚的。

违法行为轻微并及时纠正,没有 造成危害后果的,不予行政处 罚。

第二十八条 违法行为构成 犯罪,人民法院判处拘役或者有 期徒刑时,行政机关已经给予当 事人行政拘留的,应当依法折抵 相应刑期。

违法行为构成犯罪,人民法院判 处罚金时,行政机关已经给予当 事人罚款的,应当折抵相应罚 金。

第二十九条 违法行为在二 年内未被发现的,不再给予行政 处罚。法律另有规定的除外。

前款规定的期限,从违法行为发 生之日起计算;违法行为有连续 或者继续状态的,从行为终了之 日起计算。

第五章 行政处罚的决定

第三十条 公民、法人或者 其他组织违反行政管理秩序的行 为,依法应当给予行政处罚的, 行政机关必须查明事实;违法事 实不清的,不得给予行政处罚。

第三十一条 行政机关在作 出行政处罚决定之前,应当告知 当事人作出行政处罚决定的事 实、理由及依据,并告知当事人 依法享有的权利。

第三十二条 当事人有权进 行陈述和申辩。行政机关必须充 分听取当事人的意见,对当事人 提出的事实、理由和证据,应当 the parties; if the facts, grounds and evidence put forward by the parties are established, the administrative organs shall accept them.

Administrative organs shall not impose heavier penalties on the parties just because the parties have tried to defend themselves.

#### Section 1 Summary Procedure

Article 33 If the facts about a violation of law are wellattested and there are legal basis and if, the citizen involved is to be fined not more than 50 yuan or the legal person or other organization involved is to be fined not more than 1,000 yuan or a disciplinary warning is to be given, such administrative penalty may be decided on the spot. The party shall carry out the decision on administrative penalty in accordance with the provisions of Articles 46, 47 and 48 of this Law.

Article 34 If a law-enforcing officer decides to impose administrative penalty on the spot, he shall show the party his identification papers for law enforcement, fill out an established and coded form of decision for administrative penalty. The form of decision for administrative penalty shall be given to the party on the spot.

In the form of decision for administrative penalty as stipulated in the preceding paragraph shall be clearly recorded the illegal act committed by the party, the basis for administrative penalty, the amount of fine, the time and place, and the title of the administrative organ. Such form shall also be signed or sealed by the law-enforcing officer.

Law-enforcing officers must submit their decisions on administrative penalty made on the spot to the administrative organs where they belong for the record.

Article 35 If a party refuses to accept the decision on administrative penalty made on the spot, he may apply for administrative reconsideration or bring an administrative lawsuit in accordance with law.

## Section 2 Ordinary Procedure

Article 36 Except for the administrative penalties which may be imposed on the spot as provided in Article 33 of this Law, administrative organs, when discovering that citizens, legal persons or other organizations have 进行复核; 当事人提出的事实、 理由或者证据成立的, 行政机关 应当采纳。

行政机关不得因当事人申辩而加 重处罚。

#### 第一节 简易程序

第三十三条 违法事实确凿 并有法定依据,对公民处以五十 元以下、对法人或者其他组织处 以一千元以下罚款或者警告的行 政处罚的,可以当场作出行政处 罚决定。当事人应当依照本法第 四十六条、第四十七条、第四十 八条的规定履行行政处罚决定。

第三十四条 执法人员当场 作出行政处罚决定的,应当向当 事人出示执法身份证件,填写预 定格式、编有号码的行政处罚决 定书。行政处罚决定书应当当场 交付当事人。

前款规定的行政处罚决定书应当 载明当事人的违法行为、行政处 罚依据、罚款数额、时间、地点 以及行政机关名称,并由执法人 员签名或者盖章。

执法人员当场作出的行政处罚决 定,必须报所属行政机关备案。

第三十五条 当事人对当场 作出的行政处罚决定不服的,可 以依法申请行政复议或者提起行 政诉讼。

## 第二节 一般程序

第三十六条 除本法第三十 三条规定的可以当场作出的行政 处罚外,行政机关发现公民、法 人或者其他组织有依法应当给予 committed acts for which administrative penalty should be imposed according to law, shall conduct investigation in a comprehensive, objective and fair manner and collect relevant evidence; when necessary, they may conduct inspection in accordance with the provisions of laws and regulations.

Article 37 When administrative organs conduct investigations or inspections, there shall be not less than two law-enforcing officers, who shall show their identification papers to the party or other persons concerned. The party and other persons concerned shall truthfully answer the questions and assist in the investigation or inspection; they may not obstruct such investigation or inspection. Written record shall be made for the inquiry or inspection.

When collecting evidence, administrative organs may obtain evidence through sampling; under circumstances where there is a likelihood that the evidence may be destroyed or lost, or difficult to obtain later, administrative organs may, with the approval of their leading members, first register the evidence for preservation and shall make a timely decision on its disposition within seven days. During this period of time, the party and other persons concerned may not destroy or transfer the evidence.

If a law-enforcing officer shares a direct interest with the party, he shall withdraw.

Article 38 After an investigation has been concluded, leading members of an administrative organ shall examine the results of the investigation and make the following decisions in light of different circumstances:

(1) to impose administrative penalty where an illegal act has really been committed and for which administrative penalty should be imposed, in light of the seriousness and the specific circumstances of the case;

(2) to impose no administrative penalty where an illegal act is minor and which may be exempted from administrative penalty according to law;

(3) to impose no administrative penalty where the facts about an illegal act are not established; 行政处罚的行为的,必须全面、 客观、公正地调查,收集有关证 据;必要时,依照法律、法规的 规定,可以进行检查。

第三十七条 行政机关在调 查或者进行检查时,执法人员不 得少于两人,并应当向当事人或 者有关人员出示证件。当事人或 者有关人员应当如实回答询问, 并协助调查或者检查,不得阻 挠。询问或者检查应当制作笔 录。

行政机关在收集证据时,可以采 取抽样取证的方法;在证据可能 灭失或者以后难以取得的情况 下,经行政机关负责人批准,可 以先行登记保存,并应当在七日 内及时作出处理决定,在此期 间,当事人或者有关人员不得销 毁或者转移证据。

执法人员与当事人有直接利害关 系的,应当回避。

第三十八条 调查终结,行 政机关负责人应当对调查结果进 行审查,根据不同情况,分别作 出如下决定:

(一)确有应受行政处罚的违法 行为的,根据情节轻重及具体情 况,作出行政处罚决定;

(二)违法行为轻微,依法可以 不予行政处罚的,不予行政处 罚;

(三)违法事实不能成立的,不 得给予行政处罚; (4)to transfer the case to a judicial organ where an illegal act constitutes a crime.

Before imposing a heavier administrative penalty for an illegal act which is of a complicated or grave nature, the leading members of an administrative organ shall make a collective decision through discussion.

Before the person in charge of the administrative authority makes a decision, the person that conducts the examination of administrative penalty decisions shall conduct examination. The person of an administrative authority who conducts the examination of administrative penalty decisions for the first time shall pass the national uniform legal profession qualification examination and obtain the legal profession qualification.

Article 39 To impose administrative penalty according to the provisions of Article 38 of this Law, an administrative organ shall fill out form of decision for administrative penalty. The following particulars shall be clearly recorded in a form of decision for administrative penalty:

(1) name, or title, and address of the party;

(2) facts and evidence for the violation of law, regulations or rules;

(3) type of and basis for administrative penalty;

(4) manner of and time limit for enforcement of administrative penalty;

(5) channel and time limit for applying for administrative reconsideration or bringing an administrative lawsuit if the party refuses to accept the decision on administrative penalty; and

(6) title of the administrative organ that makes the decision on administrative penalty and the date on which the decision is made.

To the form of decision for administrative penalty must be affixed the seal of the administrative organ that makes the decision on administrative penalty.

Article 40 The form of decision for administrative penalty shall be given to the party on the spot after announcement

(四)违法行为已构成犯罪的, 移送司法机关。

对情节复杂或者重大违法行为给 予较重的行政处罚,行政机关的 负责人应当集体讨论决定。

在行政机关负责人作出决定之前,应当由从事行政处罚决定审 核的人员进行审核。行政机关中 初次从事行政处罚决定审核的人 员,应当通过国家统一法律职业 资格考试取得法律职业资格。

第三十九条 行政机关依照 本法第三十八条的规定给予行政 处罚,应当制作行政处罚决定 书。行政处罚决定书应当载明下 列事项:

(一)当事人的姓名或者名称、 地址;

(二)违反法律、法规或者规章的事实和证据;

(三)行政处罚的种类和依据;

(四)行政处罚的履行方式和期限;

(五)不服行政处罚决定,申请 行政复议或者提起行政诉讼的途 径和期限;

(六)作出行政处罚决定的行政 机关名称和作出决定的日期。

行政处罚决定书必须盖有作出行 政处罚决定的行政机关的印章。

第四十条 行政处罚决定书 应当在宣告后当场交付当事人; of the decision; if the party is not present, the administrative organ shall, within seven days, serve the form of decision for administrative penalty on the party in accordance with the relevant provisions of the Civil Procedure Law.

Article 41 If, before making a decision on administrative penalty, an administrative organ or its law-enforcing officer, fails to notify, as stipulated in Articles 31 and 32 of this Law, the party of the facts about the violation, grounds and basis on which the administrative penalty is imposed, or refuses to heed the party's statement and self- defense, the decision on administrative penalty shall be invalid, except that the party relinquishes the right to make a statement or to defend himself.

## Section 3 Procedrue of Hearing

Article 42 An administrative organ, before making a decision on administrative penalty that involves ordering for suspension of production or business, rescission of business permit or license or imposition of a comparatively large amount of fine, shall notify the party that he has the right to request a hearing; if the party requests a hearing, the administrative organ shall arrange for the hearing. The party shall not bear the expenses for the hearing arranged by the administrative organ. The hearing shall be arranged according to the following procedure:

(1) To request a hearing, the party shall do it within three days after being notified by the administrative organ;

(2) The administrative organ shall, seven days before the hearing is held, notify the party of the time and place for the hearing;

(3) The hearing shall be held openly, except where State secrets, business secrets or private affairs are involved;

(4) The hearing shall be presided over by a person other than the investigator of the case designated by the administrative organ; if the party believes that the person has a direct interest in the current case, he shall have the right to apply for the person's withdrawal;

(5) The party may participate in the hearing in person, or he may entrust one or two persons to act on his behalf; 当事人不在场的,行政机关应当 在七日内依照民事诉讼法的有关 规定,将行政处罚决定书送达当 事人。

第四十一条 行政机关及其 执法人员在作出行政处罚决定之 前,不依照本法第三十一条、第 三十二条的规定向当事人告知给 予行政处罚的事实、理由和依 据,或者拒绝听取当事人的陈 述、申辩,行政处罚决定不能成 立;当事人放弃陈述或者申辩权 利的除外。

第三节 听证程序

第四十二条 行政机关作出 责令停产停业、吊销许可证或者 执照、较大数额罚款等行政处罚 决定之前,应当告知当事人有要 求举行听证的权利;当事人要求 听证的,行政机关应当组织听 证。当事人不承担行政机关组织 听证的费用。听证依照以下程序 组织:

(一)当事人要求听证的,应当在行政机关告知后三日内提出;

(二)行政机关应当在听证的七日前,通知当事人举行听证的时间、地点;

(三)除涉及国家秘密、商业秘 密或者个人隐私外,听证公开举 行;

(四)听证由行政机关指定的非 本案调查人员主持; 当事人认为 主持人与本案有直接利害关系 的,有权申请回避;

(五)当事人可以亲自参加听 证,也可以委托一至二人代理; (6) The investigator shall, when the hearing is conducted, put forward the facts about the violations of law committed by the party, the evidence and recommendation for administrative penalty; the party may defend himself and make cross-examination; and

(7) Written record shall be made for the hearing; the written record shall be shown to the party for checking, and when the party acknowledges that the record is free of error, he shall sign or affix

If the party has objection to the administrative penalty that involves restriction of freedom of person, the relevant provisions of Law on Public Security Administrative Punishments shall apply.

Article 43 When a hearing is concluded, the administrative organ shall make a decision in accordance with the provisions of Article 38 of this Law.

Chapter VI Enforcement of Administrative Penalty

Article 44 After a decision on administrative penalty has been made in accordance with law, the party shall carry it out within the time limit set by the decision on administrative penalty.

Article 45 If the party refuses to accept the decision on administrative penalty and applies for administrative reconsideration or brings an administrative lawsuit, enforcement of the administrative penalty shall not be suspended, except as otherwise prescribed by law.

Article 46 The administrative organ that makes the decision on a fine shall be separated from the organ that collects the fine.

Except for circumstances under which fines shall be collected on the spot according to the provisions of Articles 47 and 48 of this Law, no administrative organs that make the decision on administrative penalty or their lawenforcing officers shall collect fines themselves.

The parties shall, within 15 days from the date they receive the forms of decision for administrative penalty, pay the fines to the banks as designated. The banks shall accept the fines and turn them over directly to the State Treasury. (六)举行听证时,调查人员提 出当事人违法的事实、证据和行 政处罚建议;当事人进行申辩和 质证;

(七)听证应当制作笔录;笔录 应当交当事人审核无误后签字或 者盖章。

当事人对限制人身自由的行政处 罚有异议的,依照治安管理处罚 法有关规定执行。

第四十三条 听证结束后, 行政机关依照本法第三十八条的 规定,作出决定。

第六章 行政处罚的执行

第四十四条 行政处罚决定 依法作出后,当事人应当在行政 处罚决定的期限内,予以履行。

第四十五条 当事人对行政 处罚决定不服申请行政复议或者 提起行政诉讼的,行政处罚不停 止执行,法律另有规定的除外。

第四十六条 作出罚款决定 的行政机关应当与收缴罚款的机 构分离。

除依照本法第四十七条、第四十 八条的规定当场收缴的罚款外, 作出行政处罚决定的行政机关及 其执法人员不得自行收缴罚款。

当事人应当自收到行政处罚决定 书之日起十五日内,到指定的银 行缴纳罚款。银行应当收受罚 款,并将罚款直接上缴国库。 Article 47 If a decision on administrative penalty is made on the spot in accordance with the provisions of Article 33 of this Law, law enforcing officers may collect fines on the spot under one of the following circumstances:

(1) The fines imposed according to law is not more than 20 yuan; or

(2) It is difficult to carry out the decision if the fine is not collected on the spot.

Article 48 lf, after a decision on fine is made by an administrative organ or its law-enforcing officers in accordance with the provisions of Article 33 or Article 38 of this Law, it is really difficult for the party in the area which is outlying, on water or not easily accessible to pay the fine to the bank as designated, the administrative organ or its lawenforcing officers may, upon the request of the party, collect the fine on the spot.

Article 49 Where fines are collected on the spot by an administrative organ or its law-enforcing officers, the parties shall be given receipts for the fines as are uniformly made and issued by finance departments of provinces, autonomous regions or municipalities directly under the Central Government; if such receipts are not given, the parties shall have the right to refuse to pay the fines.

Article 50 Fines collected by law-enforcing officers on the spot shall be turned over to administrative organs within two days from the date the fines are collected; fines collected on the spot on water shall be turned over to administrative organs within two days from the date of landing; the administrative organs shall, within two days, deliver the fines over to the banks as designated.

Article 51 If the parties fail to carry out the decision on administrative penalty within the time limit, the administrative organ that made the decision on administrative penalty may adopt the following measures:

(1) to impose an additional fine at the rate of 3% of the amount of the fine per day;

(2) in accordance with law, to sell by auction the sealed up or seized property or things of value or to transfer the frozen deposits to offset the fine; and 第四十七条 依照本法第三 十三条的规定当场作出行政处罚 决定,有下列情形之一的,执法 人员可以当场收缴罚款:

(一)依法给予二十元以下的罚 款的;

(二)不当场收缴事后难以执行 的。

第四十八条 在边远、水 上、交通不便地区,行政机关及 其执法人员依照本法第三十三 条、第三十八条的规定作出罚款 决定后,当事人向指定的银行缴 纳罚款确有困难,经当事人提 出,行政机关及其执法人员可以 当场收缴罚款。

第四十九条 行政机关及其 执法人员当场收缴罚款的,必须 向当事人出具省、自治区、直辖 市财政部门统一制发的罚款收 据;不出具财政部门统一制发的 罚款收据的,当事人有权拒绝缴 纳罚款。

第五十条 执法人员当场收 缴的罚款,应当自收缴罚款之日 起二日内,交至行政机关;在水 上当场收缴的罚款,应当自抵岸 之日起二日内交至行政机关;行 政机关应当在二日内将罚款缴付 指定的银行。

第五十一条 当事人逾期不 履行行政处罚决定的,作出行政 处罚决定的行政机关可以采取下 列措施:

(一)到期不缴纳罚款的,每日按罚款数额的百分之三加处罚款;

(二)根据法律规定,将查封、 扣押的财物拍卖或者将冻结的存 款划拨抵缴罚款; (3) to apply to a People's Court for compulsory enforcement.

Article 52 If the parties truly have financial difficulties and need to postpone payment of the fines or pay them in installments, payment may be put off for the time being or made in installments after the parties have applied to and obtained approval of the administrative organs.

Article 53 With the exception of the confiscated articles or goods that should be destroyed in accordance with law, the illegal property or things of value that have been confiscated according to law shall be sold by public auction in accordance with the regulations of the State or shall be disposed of in accordance with relevant State regulations.

Fines, confiscated illegal gains or money obtained from sale by auction of the confiscated illegal property or things of value shall be turned over to the State Treasury in full, and no administrative organs or individuals may, in any manner, withhold, or share these out privately, or do so in disguised form; no finance department shall, in any manner, give the back to the administrative organs that decided to impose the fines or give them back the illegal gains they confiscated or the money they obtained from sale by auction of the confiscated illegal property or things of value.

Article 54 Administrative organs shall establish a sound supervisory system for administrative penalty. People's governments at or above the county level shall exercise stricter supervision and inspection of administrative penalty.

A citizen, a legal person or other organization shall have the right to make an appeal or accusation against an administrative penalty imposed by an administrative organ. The administrative organ shall carefully examine the appeal or accusation, and when it finds that the administrative penalty is wrong, it shall take the initiative to correct it.

Chapter VII Legal Responsibility

Article 55 If an administrative organ imposes an administrative penalty in any of the following manners, it shall be ordered by the administrative organ at the higher level or a relevant department to make correction, and (三)申请人民法院强制执行。

第五十二条 当事人确有经 济困难,需要延期或者分期缴纳 罚款的,经当事人申请和行政机 关批准,可以暂缓或者分期缴 纳。

第五十三条 除依法应当予 以销毁的物品外,依法没收的非 法财物必须按照国家规定公开拍 卖或者按照国家有关规定处理。

罚款、没收违法所得或者没收非 法财物拍卖的款项,必须全部上 缴国库,任何行政机关或者个人 不得以任何形式截留、私分或者 变相私分;财政部门不得以任何 形式向作出行政处罚决定的行政 机关返还罚款、没收的违法所得 或者返还没收非法财物的拍卖款 项。

第五十四条 行政机关应当 建立健全对行政处罚的监督制 度。县级以上人民政府应当加强 对行政处罚的监督检查。

公民、法人或者其他组织对行政 机关作出的行政处罚,有权申诉 或者检举;行政机关应当认真审 查,发现行政处罚有错误的,应 当主动改正。

# 第七章 法律责任

第五十五条 行政机关实施 行政处罚,有下列情形之一的, 由上级行政机关或者有关部门责 令改正,可以对直接负责的主管 administrative sanctions may, in accordance with law, be imposed upon the persons who are directly in charge and other persons who are directly responsible for the offense:

(1) without statutory basis for administrative penalty;

(2) by altering the types and range of administrative penalty, without authorization;

(3) in violation of the legal procedure for administrative penalty; or

(4) in violation of the provisions of Article 18 of this Law concerning entrusting an organization with imposition of administrative penalty.

Article 56 If administrative organs, when imposing penalties on the parties, do not use the documents for fines and confiscation of property or things of value, or use the documents for fines and confiscation of property or things of value that are prepared and issued by non- statutory departments, the parties shall have the right to refuse to accept the penalties and the right to accuse them. The administrative organs at higher levels or relevant departments shall confiscate and destroy the illegal documents in use and shall, in accordance with law, impose administrative sanctions upon the persons who are directly in charge and other persons who are directly responsible for the offense.

Article 57 If administrative organs, in violation of the provisions of Article 46 of this Law, collect fines themselves, if finance departments, in violation of the provisions of Article 53 of this Law, give back to administrative organs fines or money obtained from sale by auction of confiscated illegal property or things of value, the administrative organs at higher levels or relevant departments shall order them to make correction and shall, in accordance with law, impose administrative sanctions upon the persons who are directly in charge and other persons who are directly responsible for the offense.

Article 58 The fines, confiscated illegal gains or property or things of value that are withheld, shared out privately or done so in disguised form by administrative organs shall be recovered by finance departments or relevant departments, the persons who are directly in charge and other persons 人员和其他直接责任人员依法给 予行政处分:

(一)没有法定的行政处罚依据的;

(二)擅自改变行政处罚种类、 幅度的;

(三)违反法定的行政处罚程序 的;

(四)违反本法第十八条关于委 托处罚的规定的。

第五十六条 行政机关对当 事人进行处罚不使用罚款、没收 财物单据或者使用非法定部门制 发的罚款、没收财物单据的,当 事人有权拒绝处罚,并有权予以 检举。上级行政机关或者有关部 门对使用的非法单据予以收缴销 毁,对直接负责的主管人员和其 他直接责任人员依法给予行政处 分。

第五十七条 行政机关违反 本法第四十六条的规定自行收缴 罚款的,财政部门违反本法第五 十三条的规定向行政机关返还罚 款或者拍卖款项的,由上级行政 机关或者有关部门责令改正,对 直接负责的主管人员和其他直接 责任人员依法给予行政处分。

第五十八条 行政机关将罚 款、没收的违法所得或者财物截 留、私分或者变相私分的,由财 政部门或者有关部门予以追缴, 对直接负责的主管人员和其他直 who are directly responsible for the offense shall be given administrative sanctions according to law; if the offense is serious enough to constitute a crime, criminal responsibility shall be investigated in accordance with law.

If law-enforcing officers, taking advantage of their functions, ask for or accept other person's property or things of value or take into their own possession fines they have collected and, if the offense constitutes a crime, they shall be investigated for criminal responsibility in accordance with law; if the offense is of a minor nature and does not constitute a crime, administrative sanctions shall be imposed upon them in accordance with law.

Article 59 If administrative organs use or destroy the property or things of value they have seized and thus cause losses to the parties, they shall give compensation to the parties according to law, and administrative sanctions shall, in accordance with law, be imposed upon the persons who are directly in charge and other persons who are directly responsible for the offense.

Article 60 If administrative organs violate law when enforcing measures of inspection or execution and thus inflict damage on the person or property of citizens or cause losses to legal persons or other organizations, they shall be liable for compensation according to law, and the persons who are directly in charge and other persons who are directly responsible for the offense shall be given administrative sanctions in accordance with law; if the offence is serious enough to constitute a crime, criminal responsibility shall be investigated according to law.

Article 61 If administrative organs, for the purpose of seeking departmental gain, do not transfer cases to judicial organs for investigation of criminal responsibility as they should do in accordance with law but impose administrative penalty in place of criminal penalty, the administrative organs at higher levels or relevant departments shall order them to make correction; if they refuse to do so, administrative sanctions shall be imposed upon the persons who are directly in charge; persons who practise irregularities for personal gain, cover up or connive at violations of law shall be investigated for criminal responsibility according to the relevant provisions of the Criminal Law. 接责任人员依法给予行政处分; 情节严重构成犯罪的,依法追究 刑事责任。

执法人员利用职务上的便利,索 取或者收受他人财物、收缴罚款 据为己有,构成犯罪的,依法追 究刑事责任;情节轻微不构成犯 罪的,依法给予行政处分。

第五十九条 行政机关使用 或者损毁扣押的财物,对当事人 造成损失的,应当依法予以赔 偿,对直接负责的主管人员和其 他直接责任人员依法给予行政处 分。

第六十条 行政机关违法实 行检查措施或者执行措施,给公 民人身或者财产造成损害、给法 人或者其他组织造成损失的,应 当依法予以赔偿,对直接负责的 主管人员和其他直接责任人员依 法给予行政处分;情节严重构成 犯罪的,依法追究刑事责任。

第六十一条 行政机关为牟 取本单位私利,对应当依法移交 司法机关追究刑事责任的不移 交,以行政处罚代替刑罚,由上 级行政机关或者有关部门责令纠 正; 拒不纠正的,对直接负责的 主管人员给予行政处分; 徇私舞 弊、包庇纵容违法行为的,依照 刑法有关规定追究刑事责任。 Article 62 If illegal acts that should be checked and punished are not checked and punished due to dereliction of duty by law-enforcing officers, and thus damaging the lawful rights and interests of citizens, legal persons and other organizations, public interests and public order, the persons who are directly in charge and other persons who are directly responsible for the offense shall be given administrative sanctions in accordance with law; if the violation is serious enough to constitute a crime, criminal responsibility shall be investigated according to law.

**Chapter VIII Supplementary Provisions** 

Article 63 Specific measures for implementing the provisions of Article 46 of this Law concerning separation of the organs that make the decision on imposing fines from the organs that collect fines shall be formulated by the State Council.

Article 64 This Law shall be implemented as of October 1, 1996.

Provisions regarding administrative penalty in the regulations and rules, enacted before the promulgation of this Law, that do not comply with the provisions of this Law shall be amended in accordance with the provisions of this Law from the date of promulgation of this Law, and such amendment shall be finished before December 31, 1997.

第六十二条 执法人员玩忽 职守,对应当予以制止和处罚的 违法行为不予制止、处罚,致使 公民、法人或者其他组织的合法 权益、公共利益和社会秩序遭受 损害的,对直接负责的主管人员 和其他直接责任人员依法给予行 政处分;情节严重构成犯罪的, 依法追究刑事责任。

## 第八章 附 则

第六十三条 本法第四十六 条罚款决定与罚款收缴分离的规 定,由国务院制定具体实施办 法。

第六十四条 本法自 **1996** 年 **10**月1日起施行。

本法公布前制定的法规和规章关于行政处罚的规定与本法不符合的,应当自本法公布之日起,依照本法规定予以修订,在1997年12月31日前修订完毕。

© Copyright Chinalawinfo Co., Ltd database@chinalawinfo.com